United States **Hatent** and Trademark (Pffice the Serial No.: 10/676,297 Docket No.: 17209-503 Filed: September 30, 2003 Conf.No.: 8191 Art Unit: 3691 Applicant(s): Emerson P. Jones Et Al. Examiner: Ali, Hatem M. Method and system for analyzing a capital structure for a company For:

Mailstop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

AMENDMENT/RESPONSE ACCOMPANYING RCE, 37 CFR §1.114 Madam:

Further to the Notice of Appeal dated July 5, 2011, the Final Office Action dated January 5, 2011 (hereinafter "Office Action"), in view of the concurrently filed request for Request Continued Examination ("RCE"), and in view of the following amendments and/or remarks, Emerson P. Jones Et Al. and/or Attorney(s) for applicant(s) (hereinafter "Applicant") request entry thereof and reconsideration of the above-referenced application.

Amendments to the Claims are reflected in the listing of claims begin on page 2.

Remarks begin on page 7 of this paper.

35 USC § 112 remarks begin on page 7 of this paper. 35 USC § 103 remarks begin on page 10 of this paper.